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TRANSMITTAL
FORM

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o a collection of information unless it displays a valid OMB control number.			
Application Number	10/001,494		
Filing Date	10/31/2001		
First Named Inventor	Roberto A. Capodieci		
Group Art Unit	1761		
Examiner Name	Unassigned		
Attorney Docket Number	12598US03		

			•	Group Art Unit		1761	
				Examiner Name		Unassign	ed
				Attorney Docket N	umber	12598US	503
ENCLOSURES (check all that apply)							
Fee Transmittal Fo	aration(s) Request ment Request sure Priority ing Parts/	☐ Assignment Papers (for an Application) ☐ After Allowance Comm to Group ☐ Drawing(s) ☐ Appeal Communication of Appeals and Interfered Appeals and Interfered Appeal Notice, Brief, Replication ☐ Petition to Convert to a Provisional Application ☐ Proprietary Information ☐ Power of Attorney, Revocation Change of Correspondence Address ☐ Other Enclosure(s) (pleadentify below): ☐ Terminal Disclaimer ☐ Request for Refund ☐ CD Number of CD(s) ☐ SEP 1		nunication to Board d Interferences nunication to Group Brief, Reply Brief) Information Ure(s) (please): SEP 1 7 2002			
	SIGN	ATURE OF APP	LICAN	T, ATTORNEY, OR A	GENT		
Firm or Individual Name MANdrews Held & Malloy, Ltd. Signature Date September 11, 2002 CERTIFICATE OF MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on this date: September 11, 2002.							
Name (Print/type)	Joseph M. E	arich		Registration No. (A	Attorney/	Agent)	42,291
Signature	40000	MI.	Sa	riel		Date	09/11/2002

B/17 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032
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FEE TRANSMITTAL for FY 2002

Patent Fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 180.00

Complete if Known			
Application Number	10/001,494		
Filing Date	10/31/2001		
First Named Inventor	Roberto A. Capodieci		
Examiner Name	Unassigned		
Group Art Unit	1761		
Attorney Docket No.	12598US03		

	METH	OD OF PAYMENT		FEE CALCULATION (continued)				_	
1. 🖾 The Co	ommissioner is	hereby authorized to charge inc	icated fees and	3. ADDITIONAL FEES				EN IP	
	t any overpaym						· 'VH		
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Account		13-0017		Fee	Fee	Fee	Fee	SEP 1	7 Page 1
Number				Code	(\$)	Code	(\$)	Fee Description	FeldUZ
Deposit	14-1-	dance Italy 0 Mallace I Ad		105	130	205	65	Surcharge - late filing fee or oath	
Account Name	МСАЛ	drews, Held & Malloy, Ltd.		127	50	227	25	Surcharge - late provisional filing fee or cover	700
	ge Any Addition	nal Fee Required Under 37 CFR	1.16 and 1.17					sheet	(UO)
_		all entity status.		139	130	139	130	Non-English specification	
	37 CFR 1.27								
2. ⊠ Payme	nt Enclosed:			147	2,520	147	2,520	For filing a request for ex parte reexamination	
		ard Money Order Doth	er	112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
	FEE	CALCULATION		113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
1. BASIC FII	ING FEE								
	y Small Entity			115	110	215	55	Extension for reply within first month	
Fee Fee Code (\$)	Fee Fee Code (\$)	Fee Description	Fee Paid	116	400	216	200	Extension for reply within second month	
, ,		I Miller Stines Free	reeralu	117	920	217	460	Extension for reply within third month	
101 74		Utility filing Fee		118	1,440	218	720	Extension for reply within fourth month	
106 33		Design filing Fee		128	1,960	228	980	Extension for reply within fifth month	
107 51	0 207 255	Plant filing fee		119	320	219	160	Notice of Appeal	
108 74	0 208 370	Reissue filing fee		120	320	220	160	Filing a brief in support of an appeal	<u> </u>
114 16	0 214 80	Provisional filing fee		121	280	221	140	Request for oral hearing	
				138	1,510	138	1510	Petition to institute a public use proceeding	
		SUBTOTA	11 (41 (e)	140 141	110 1,280	240 241	55 640	Petition to revive - unavoidable	├ ──┤
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2. EXTRA CL	AIM FEES			143	460	242	230	Utility issue fee (or reissue) Design issue fee	
		Fee from		144	620	244	310	Plant issue fee	<u> </u>
		Extra Claims below	Fee Paid	122	130	122	130	Petitions to the Commissioner	
Total Claims	20	** =x=	<u></u>	123	50	123	50	Processing fee under 37 CFR 1.17(q)	
Independent				126	180	126	180	Submission of Information Disclosure Stmt	180.00
Claims	- 3**	'=	Ĺ	581	40	581	40		
Multiple Depe	ndent	=						Recording each patent assignment per property (times number of properties)	
l arne Entit	v Small Entity			146	740	246	370	, F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F F.	
Fee Fee		Fee Description						Filing a submission after final rejection (37 CFR §	
Code (\$)	Code (\$)			140	740	040	270	1.129(a))	
103 16		Claims in excess of 20		149	740	249	370	For each additional invention to be examined (37 CFR 1.129(b))	
102 84		Independent claims in excess		179	740	279	370	Request for Continued Examination (RCE)	
104 28		Multiple dependent claim, if n	-	169	900	169	900	request for Commission (rice)	
109 84	209 42	**Reissue independent daim over original patent	5			,		Request for expedited examination of a design application	
110 18	210 9	**Reissue claims in excess of and over original patent	20	Other	fee (spec	ify)	у	• •	
SUBTOTAL (2) (\$)									
**or number o									
**ror number previously paid, if greater; For Reissues, see above *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$)180.00									

SUBMITTED BY					Complete (if applicable)
Name (Print/Type)	Joseph M. Barich	Registration No.	42,291	Telephone	312/775-8000
Signature	HOSSIA N	1 Bar	6	Date	September 11, 2002

WARMING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.





UNITED STATES PATENT AND TRADEMARK OFFICE

(Attorney Docket No. 12598US03)

In the Application of:

Roberto A. Capodieci

Serial No.

10/001,494

Filed:

October 31, 2001

For:

Ultrasonically Activated

Continuous Slitter

Apparatus and Method

Examiner:

Unassigned

Group Art Unit:

1761

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Asst. Commissioner for Patents, Washington, D.C.

20231 on this 11th day of September, 2002

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. § 1.97-1.98 and in compliance with the duty of disclosure set forth in 37 C.F.R. § 1.56, it is respectfully requested that the following references be considered in the examination of the above-identified patent application:

<u>U.S. PATENT NO</u> .	DATE	<u>INVENTOR(S)</u>
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The above-identified reference(s) are listed on the attached PTO Form 1449 and copies are also enclosed herewith. This submission is in no way intended as an admission that the above-cited references constitute prior art. Applicant expressly retains the right to take any actions necessary to remove the above-cited references from the available prior art. Consideration of the above-identified references in the examination of the present patent application is respectfully requested.

The Commissioner is hereby authorized to charge any additional fees which may be required or credit any overpayment to Account No. 13-0017.

Respectfully submitted,

Joseph M. Barich

Registration No. 42,291 Attorney for Applicant

September 11, 2002 Date

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